

**CROPS WHICH ALLOW MULTIPLE TYPE 14 RECORDS**  
**(Different Coverage Levels and/or Price Election Factors by Type)**

Crop Name	Crop Code	Ins Plan Code	State(s)	
Grapes	0053	86	Idaho Oregon Washington	If the producer selects a different Coverage Level or Price Election Factor by variety group, a separate type 14 record is required.
	0053 0052	86	California	Submit a separate type 14 record for each Grape type insured.
	0052	86	Arizona	
Dry Beans	0047	90	ALL	If the producer selects a different Coverage Level or Price Election Factor by Dry Bean or Dry Pea type, a separate type 14 record is required.
Dry Peas	0067	86	ALL	
Florida Citrus	0245	50	12	If the producer selects a different Price Election Factor by type, a separate type 14 record is required.
	0246			
	0247			
	0248			
	0249			
	0250			
	0251			
Wheat	0011	12	ALL	If the producer selects a different Coverage Level or Price Election Factor by type or practice a separate type 14 record is required.
Cotton	0021			
Corn	0041			
Grain Sorghum	0051			
Soybeans	0081			

## Late Sales Reduction Process

1. The reporting information for the Sales Reduction Process is the T14 ISAM Key. DAS will consider the coverage level or price election (new or changes) to apply the late sales reduction.
2. Sales Reductions: The Saturday of the week containing the:
  - 30<sup>th</sup> day after Sales Closing = Reduction Flag "00"
  - 31 - 59 days after Sales Closing = Reduction Flag "01"
  - 60 - 90 days after Sales Closing = Reduction Flag "02"
  - Greater than 90 Days after Sales Closing = Reduction Flag "03"
3. If the written agreement processing flag = "W", "NC", or "NT", the written agreement date will be used to determine the late sales reduction. If the written agreement flag is equal to "3" the rate state/county ADM SCD will be used. If the written agreement flag is equal to "H", "P", "R", "RC" or "RT", the greater date of the rate state/county ADM SCD or the written agreement date will be used to determine LSR.
4. If the price election percentage, coverage level, or market price indicator changes (after the lockdown date), the late sales reduction is recalculated. The late change date field will reflect the date of the batch where the coverage level, price election, or market price indicator was changed. If the company resubmits the 14 record back to the lockdown coverage level, price election and market price indicator, DAS will reverse the reduction to the lockdown reduction percentage.
5. When the late process flag equals "05" alternate crop, LSR is bypassed and the reduction flag is zero filled. The coverage flag must equal "C".
6. A warning message, error 14099, is issued to companies when price election, coverage level, or market price indicator changes from the price election, coverage level, or market price indicator on the ISAM, and/or when late sales reduction is valued.
7. If the 14 record contains a multi-county flag of "S", the "S" will have the same reduction as the 'P' record.
8. For crops with multiple types/practices, the lowest late sales reduction will apply provided the same coverage, price election and market price indicator agree between the multiple type/practices.
9. Price election factor is not applicable for AGR, plan code 63, therefore, it is not a factor in the determination of late sales reduction. Late original submissions and changes to coverage level are applicable for LSR.
10. If the crop is nursery, crop code 0073, LSR is calculated as follows:
  - If the signature date is less than or equal to October 1 of the current reinsurance year minus one, and the contract flag equals one (carryover), then DAS will use the October 1 date as the sales closing date to determine LSR. If the signature date is greater than or equal to October 1, then DAS will use the signature date as the sales closing date, the contract flag must be equal to zero (new contract).
11. If the crop is Florida Fruit Trees, crop codes 0207 through 0214, then LSR is calculated as follows:
  - If the signature date is less than or equal to November 11 of the current reinsurance year minus one, and the contract flag equals one (carryover), then DAS will use the November 20 date as the sales closing date to determine LSR. If the signature date is greater than or equal to November 20, then DAS will use the signature date as the sales closing date, the contract flag must be equal to zero (new contract).

12. If the company initially transmits the policy without written agreement information, later transmission of the written agreement date will not recalculate late sales reduction. Initial cutoff dates will apply.
13. Companies should ensure that price, coverage levels, or market price indicators are not modified after a loss has been incurred by the insured. A DAS error will be generated to the company if the claim date is prior to the LSR change date.

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### Duplicate Edits

#### 1. General Duplicate Edits:

- a. Edits use Primary Tax ID type and number.
- b. DAS identifies potential duplicate policies by identifying policies with the Same ID Number, ID Type, Location State, Location County, Crop Code and Type (note: type is applicable for California and Arizona Grapes (crop code 0052/0053) which allow policies by grape type)
- c. DAS will determine policy ownership by comparing the signature date & transfer flag fields on 14 record
  - i. If any of the duplicate policies are indicated as a "transfer and cancellation" policy on the 14 record, the duplicate process will use the earliest signature date for the current sales period to determine ownership.
    1. The sales period starts the day following the earliest sales closing date for the previous crop year and continues through the latest sales closing date for the current crop year.
  - ii. If none of the duplicate policies are indicated as being a "transfer and cancellation" policy, then ownership is determined by the earliest signature date without regard to the sales period.
  - iii. DAS will flag the duplicate ISAM with the appropriate flag of owner & duplicate policy.
- d. The duplicate edits are not performed when:
  - i. The entity type is equal to "B" Bureau of Indian Affairs
  - ii. The crop code is hybrid seed (0050/0062)
  - iii. If the duplicates occur for the same RO and one policy is cat coverage for high risk land (Record type 14, dual coverage flag).
- e. The duplicate edits contain "crop code" in the key for determination of whether there is a duplicate policy. Since the AGR plan of insurance has a specific crop code relative to AGR, it would not be considered a duplicate with another crop policy, other than another AGR policy.
- f. In RY 2002, DAS will reject indemnity records for duplicate policies for both the owner and duplicate policy at **loss reporting time**.
  - i. Loss records for both policies (owner & duplicate) will be rejected by DAS, as well as dependent record types.
    1. DAS will reject the loss for the incoming duplicate record.
    2. DAS will populate the duplicate ISAM and will flag the ISAM with a code indicating record was rejected.
    3. If the other duplicate policy record was previously accepted, then DAS will flag the duplicate ISAM with a flag indicating that the record was deleted from the database.
      - a. The Saturday process will build a file from the flagged policies on the ISAM for the deleted policies. When the database loads are performed, the policies contained in this file will be processed and policy records will be removed from the database. The policy records to be deleted include the loss record & dependent record types. A report will be built for the weekly deleted policies and available to companies via the RO server to provide a weekly listing of those duplicate policies removed from the database.
- g. In RY 2003, DAS will reject **associated indemnity and premium** records for both the owner and duplicate policy:
  - i. Premium and loss record for both policies (owner & duplicate) will be rejected by DAS, as well as any dependent records.
    1. DAS will reject the premium and loss records for the incoming duplicate record and dependent record types.
    2. DAS will populate the duplicate ISAM and will flag the ISAM

3. with a code indicating record was rejected/deleted.
  4. If the other duplicate policy record was previously accepted, then DAS will flag the duplicate ISAM with a flag indicating that the record was deleted from the database.
    - a. The Saturday process will build a file from the flagged policies on the ISAM for the deleted policies. When the database loads are performed, the policies contained in this file will be processed and policy records will be removed from the database. A report will be built for the weekly deleted policies and available to companies via the RO server to provide a weekly listing of those duplicate policies removed from the database.
2. **SAME ENTITY TYPE:**
- a. If the RO is the same: DAS will reject the duplicate (non-owner) policy with a 14090 error. All dependent records will be rejected (9 record will not be rejected). The duplicate record will be rejected (not accepted) therefore, Item 1f and 1g won't apply, because the duplicate record was not accepted.
  - b. RO is different: DAS will accept the owner & duplicate policy (policy will be in both the .rej and .acp files) as a duplicate, but issue warning to the company (14091). Item 1f and 1g apply.
  - c. Exception to item 2a & 2b: Entity type of "J" can contain multiple policies with the same ID number and ID type. Therefore, DAS should accept the records for the same RO or different RO's and issue a potential duplicate warning message to the company - the company should not have premium or loss records rejected if the duplicated policy information is for entity type "J". Item 1f and 1g will NOT apply.
3. **DIFFERENT ENTITY TYPE -**
- a. RO is the same OR different: DAS will accept the duplicate policy as a possible duplicate, but issue 14092 warning. Policy data will appear on both the .acp and .rej files. Item 1f and 1g apply.
  - b. Entity types: "I", "S", "J", "M", "U", "D", "B" or "T" are allowed to report policy information using an SSN. The same ID number, and ID type may be used for each of these entity types which is acceptable within the guidelines of the Crop Insurance Handbook. Therefore, DAS will not reject premium or loss data. DAS will provide a warning message to the company that a possible duplicate policy situation exists yet accept the records. Item 1f and 1g will NOT apply.
    - i. Warnings are generated because entity types for Individuals (I), Spouses (S), Joint Ventures (J), Tobacco Marketing Card (M), Undivided Interest (U), Estates (D), Bureau of Indian Affairs (B) and Trusts (T) may have the same duplicate key because an entity may use the SSN and have a separate policy for each type of entity.
  - c. If the entity type is "C" Corporation, "P" Partnership, "E" Religious, Charitable or Educational Organizations, "G" Government, or "N" Enterprise/Special entity: another entity type should not contain the same ID number and type. Item 1f and 1g apply.

**Duplicate Error Numbers:**

- 14090:** Duplicate policy with submitting RO
- 14091:** Warning: Duplicate Policy with another RO with Duplicate flag = "D" or "O"
- 14092:** Warning: Duplicate Policy with another RO or within same RO with duplicate flag = "P"
- 14094:** dup error - ensures that policy goes through the Dup process

Note: For DAS Warning messages, policy data will appear on .rej and .acp file

**Saturday Process:**

On Saturdays, the RORYOOWN and RORYDUP reports will be generated from the duplicate policy information contained within RMA's duplicate ISAM. Both reports should be reviewed by companies and the appropriate 49 delete records for non-owner policies transmitted to DAS. The action of the 49 delete record is not dependent upon the Saturday process. The 49 delete record will process with the batch transmission to remove the duplicate from the duplicate ISAM record. After the 49 delete record has processed and the dup ISAM is updated during the process, the other duplicate company will not receive a duplicate error. After the 49 delete record is transmitted by the non-owner company, the duplicate policy will no longer appear in the Saturday duplicate reports. The non-owner company must ensure that the duplicate policy is no longer transmitted to DAS after the 49 delete record has processed.

It is important for companies to use the Saturday duplicate reports for duplicate policy analysis because at the time of initial policy transmission, DAS may not have identified the policy as a duplicate because the other company had not yet transmitted the policy which identified the duplicate policy situation. However, if the first company continues to transmit the policy, it will receive a duplicate error after both policies have been transmitted.

**DRAFT**

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**2003 Crops by Plan Code for which "CAT" Coverage is NOT AVAILABLE:**

<u>Plan Code</u>	<u>Crop Code</u>	<u>Crop</u>
GRIP (73)	0041	Corn
	0081	Soybeans
RA (25)	0011	Wheat
	0015	Canola
	0018	Rice
	0021	Cotton
	0041	Corn
	0078	Sunflowers
	0081	Soybeans
0091	Barley	
CRC (44)	0011	Wheat
	0018	Rice
	0021	Cotton
	0041	Corn
	0051	Grain Sorghum
0081	Soybeans	
AGR-L (61)	0061	AGR-Lite
AGR (63)	0063	AGR