

**ATTACHMENT B - Summary of Prohibitions and Review Requirements  
DISCLOSER IS AN AGENT**

Positive Responses to Questions in Attachment A	Prohibited Activity	Review Requirement
Discloser performs both agent and loss adjustment activities, possibly for different approved insurance providers (AIPs).	Company must ensure that the Agent does NOT perform loss adjustment activities in same or adjoining counties as those in which the agent performs sales activities, <u>regardless</u> of whether contracted with the Company or another AIP.	
1. Discloser has a share in a crop insured under any eligible crop insurance contract insured by the Company.	Sales agents, owners or employees of sales agencies, sales supervisors, or any relative of the same shall not be involved in the acceptance and verification of underwriting data associated with any crop insurance contract written by such person.	Mandatory Review
2. Discloser has a relative (a parent, brother, sister, child, spouse, grandchild or grandparent or either resides in the household of, or engages in business with respect to a farming operation with, the person in question regardless of whether or not the individual is related by blood, adoption or marriage) with a substantial beneficial interest in any insurance contract insured by the Company.	Sales agents, owners or employees of sales agencies, sales supervisors, or any relative of the same shall not be involved in the acceptance and verification of underwriting data associated with any crop insurance contract written by such person.	Mandatory Review
3. Discloser has power of attorney to act on behalf of a policyholder on any eligible crop insurance contract insured by the Company.	The agent shall NOT perform those tasks in the loss adjustment process on behalf of a policyholder that are prohibited as specified in section IV.F.4 of the SRA and MGR-05-019.	Elective Review
4. Discloser has an ownership interest in a business (excluding stock in public corporations or entities in which the discloser owns less than a ten percent interest) with any policyholder insured by the Company.	Sales agents, owners or employees of sales agencies, sales supervisors, or any relative of the same shall not be involved in the acceptance and verification of underwriting data associated with any crop insurance contract written by such person.	Elective Review

<p>5. Discloser has a rental or leasing arrangement for land, buildings, or equipment with any policyholder insured by the Company.</p>	<p>Sales agents, owners or employees of sales agencies, sales supervisors, or any relative of the same shall not be involved in the acceptance and verification of underwriting data associated with any crop insurance contract written by such person.</p>	<p>Elective Review</p>
<p>6. Discloser is an owner/operator of a business or a commission based employee of a business, that provides goods or services related to farming operations (custom farming, tractor sales, etc., but excluding insurance services) for which the discloser receives revenue as the owner/operator or a direct commission as an employee with respect to any policyholder whose policy the discloser services for the Company.</p>	<p>Sales agents, owners or employees of sales agencies, sales supervisors, or any relative of the same shall not be involved in the acceptance and verification of underwriting data associated with any crop insurance contract written by such person.</p>	<p>Elective Review</p>
<p>7. Discloser is an owner/operator of a business or a commission based employee of a business, that provides goods or services not related to farming operations, excluding insurance services, for which the discloser receives revenue as the owner/operator or direct commission as an employee with respect to any policyholder whose policy the discloser services for the Company.</p>	<p>Sales agents, owners or employees of sales agencies, sales supervisors, or any relative of the same shall not be involved in the acceptance and verification of underwriting data associated with any crop insurance contract written by such person.</p>	<p>Elective Review</p>
<p>8. Discloser is a financial institution employee and part of the approval decision-making process of financial arrangements for any policyholder insured by the Company.</p>	<p>Sales agents, owners or employees of sales agencies, sales supervisors, or any relative of the same shall not be involved in the acceptance and verification of underwriting data associated with any crop insurance contract written by such person.</p>	<p>Elective Review</p>
<p>9. Discloser has an agent compensation, barter, or financial arrangement (excluding those reported under question 8. above) with any policyholder insured by the Company.</p>	<p>Sales agents, owners or employees of sales agencies, sales supervisors, or any relative of the same shall not be involved in the acceptance and verification of underwriting data associated with any crop insurance contract written by such person.</p>	<p>Elective Review</p>

<p>10. Discloser has a business, familial, financial, or legal relationship that has not been identified above with any policyholder insured by the Company.</p>	<p>Sales agents, owners or employees of sales agencies, sales supervisors, or any relative of the same shall not be involved in the acceptance and verification of underwriting data associated with any crop insurance contract written by such person.</p>	<p>Elective Review</p>
<p>11. Discloser has a relative who works with the Federal crop insurance program for the Company or any of its affiliates.</p>	<p>Sales agents, owners or employees of sales agencies, sales supervisors, or any relative of the same shall not be involved in the acceptance and verification of underwriting data associated with any crop insurance contract written by such person.</p>	<p>Elective Review</p>

**ATTACHMENT B - Summary of Prohibitions and Review Requirements  
DISCLOSER IS AN ADJUSTER**

Positive Responses to Questions in Attachment A	Prohibited Activity	Review Requirement
Discloser performs both agent and loss adjustment activities, possibly for different approved insurance providers (AIPs).	Company must ensure that the Adjuster does NOT perform sales or any function reserved for an agent in the same or adjoining counties as those in which the adjuster performs loss adjustment activities, <u>regardless</u> of whether contracted with the Company or another AIP.	
1. Discloser has a share in a crop insured under any eligible crop insurance contract insured by Company.	Prohibited from conducting any loss adjustment activity on the associated crop insurance contract.	Mandatory Review
2. Discloser has a relative (a parent, brother, sister, child, spouse, grandchild or grandparent or either resides in the household of, or engages in business with respect to a farming operation with, the person in question regardless of whether or not the individual is related by blood, adoption or marriage) with a substantial beneficial interest in any eligible crop insurance contract insured by the Company.	Prohibited from conducting any loss adjustment activity on the associated crop insurance contract.	Mandatory Review
3. Discloser has power of attorney to act on behalf of a policyholder on any eligible crop insurance contract insured by the Company.	Prohibited from conducting any loss adjustment activity on the associated crop insurance contract.	Elective Review
4. Discloser has an ownership interest in a business (excluding stock in public corporations or entities in which the discloser owns less than a ten percent interest) with any policyholder insured by the Company.	Prohibited from conducting any loss adjustment activity on the associated crop insurance contract.	Elective Review

<p>5. Discloser has a rental or leasing arrangement for land, buildings, or equipment with any policyholder insured by the Company.</p>	<p>Prohibited from conducting any loss adjustment activity on the associated crop insurance contract.</p>	<p>Elective Review</p>
<p>6. Discloser is an owner/operator of a business or a commission based employee of a business, that provides goods or services related to farming operations (custom farming, tractor sales, etc., but excluding insurance services) for which the discloser receives revenue as the owner/operator or a direct commission as an employee with respect to any policyholder whose policy the discloser services for the Company.</p>	<p>Prohibited from conducting any loss adjustment activity on the associated crop insurance contract.</p>	<p>Elective Review</p>
<p>7. Discloser is an owner/operator of a business or a commission based employee of a business, that provides goods or services not related to farming operations, excluding insurance services, for which the discloser receives revenue as the owner/operator or direct commission as an employee with respect to any policyholder whose policy the discloser services for the Company.</p>	<p>Prohibited from conducting any loss adjustment activity on the associated crop insurance contract.</p>	<p>Elective Review</p>
<p>8. Discloser is a financial institution employee and part of the approval decision-making process of financial arrangements for any policyholder insured by the Company.</p>	<p>Prohibited from conducting any loss adjustment activity on the associated crop insurance contract.</p>	<p>Elective Review</p>
<p>9. Discloser has an agent compensation, barter, or financial arrangement (excluding those reported under question 8. above) with any policyholder insured by the Company.</p>	<p>Prohibited from conducting any loss adjustment activity on the associated crop insurance contract.</p>	<p>Elective Review</p>

10. Discloser has a business, familial, financial, or legal relationship that has not been identified above with any policyholder insured by the Company.	Prohibited from conducting any loss adjustment activity on the associated crop insurance contract.	Elective Review
11. Discloser has a relative who works with the Federal crop insurance program for the Company or any of its affiliates.	Prohibited from conducting any loss adjustment activity on the associated crop insurance contract.	Elective Review

**ATTACHMENT B - Summary of Prohibitions and Review Requirements  
DISCLOSER IS AN EMPLOYEE WHO PERFORMS UNDERWRITING OR LOSS ADJUSTMENT  
ACTIVITIES FOR THE COMPANY**

Positive Responses to Questions in Attachment A	Prohibited Activity	Review Requirement
Discloser performs both agent and loss adjustment activities, possibly for different approved insurance providers (AIPs).	Company must ensure that the Employee does NOT perform both sales and loss adjustment activities in the same or adjoining counties, <u>regardless</u> of whether contracted with the Company or another AIP.	
1. Discloser has a share in a crop insured under any eligible crop insurance contract insured by Company.	Prohibited from involvement in the acceptance and verification of underwriting data or processing and verification of claim data on the associated crop insurance contract.	Mandatory Review
2. Discloser has a relative (a parent, brother, sister, child, spouse, grandchild or grandparent or either resides in the household of, or engages in business with respect to a farming operation with, the person in question regardless of whether or not the individual is related by blood, adoption or marriage) with a substantial beneficial interest in any eligible crop insurance contract insured by the Company.	Prohibited from involvement in the acceptance and verification of underwriting data or processing and verification of claim data on the associated crop insurance contract.	Mandatory Review
3. Discloser has power of attorney to act on behalf of a policyholder on any eligible crop insurance contract insured by the Company.	Prohibited from involvement in the acceptance and verification of underwriting data or processing and verification of claim data on the associated crop insurance contract.	Elective Review

<p>4. Discloser has an ownership interest in a business (excluding stock in public corporations or entities in which the discloser owns less than a ten percent interest) with any policyholder insured by the Company.</p>	<p>Prohibited from involvement in the acceptance and verification of underwriting data or processing and verification of claim data on the associated crop insurance contract.</p>	<p>Elective Review</p>
<p>5. Discloser has a rental or leasing arrangement for land, buildings, or equipment with any policyholder insured by the Company.</p>	<p>Prohibited from involvement in the acceptance and verification of underwriting data or processing and verification of claim data on the associated crop insurance contract.</p>	<p>Elective Review</p>
<p>6. Discloser is an owner/operator of a business or a commission based employee of a business, that provides goods or services related to farming operations (custom farming, tractor sales, etc., but excluding insurance services) for which the discloser receives revenue as the owner/operator or a direct commission as an employee with respect to any policyholder whose policy the discloser services for the Company.</p>	<p>Prohibited from involvement in the acceptance and verification of underwriting data or processing and verification of claim data on the associated crop insurance contract.</p>	<p>Elective Review</p>
<p>7. Discloser is an owner/operator of a business or a commission based employee of a business, that provides goods or services not related to farming operations, excluding insurance services, for which the discloser receives revenue as the owner/operator or direct commission as an employee with respect to any policyholder whose policy the discloser services for the Company.</p>	<p>Prohibited from involvement in the acceptance and verification of underwriting data or processing and verification of claim data on the associated crop insurance contract.</p>	<p>Elective Review</p>



8. Discloser is a financial institution employee and part of the approval decision-making process of financial arrangements for any policyholder insured by the Company.	Prohibited from involvement in the acceptance and verification of underwriting data or processing and verification of claim data on the associated crop insurance contract.	Elective Review
9. Discloser has an agent compensation, barter, or financial arrangement (excluding those reported under question 8. above) with any policyholder insured by the Company.	Prohibited from involvement in the acceptance and verification of underwriting data or processing and verification of claim data on the associated crop insurance contract.	Elective Review
10. Discloser has a business, familial, financial, or legal relationship that has not been identified above with any policyholder insured by the Company.	Prohibited from involvement in the acceptance and verification of underwriting data or processing and verification of claim data on the associated crop insurance contract.	Elective Review
11. Discloser has a relative who works with the Federal crop insurance program for the Company or any of its affiliates.	Prohibited from involvement in the acceptance and verification of underwriting data or processing and verification of claim data on the associated crop insurance contract.	Elective Review