**Exhibit Name:** Relationship between R-Record Flat Files  
**Exhibit Number:** 202-0  
**Record Name:** ROE  
**Record Code:** ROE  

**Flat Files for Initial Actuarial Change Request Submission**

For 2015, submission of a R36, R35 and R37 are the minimum needed for electronic submission, if a P10 series record exists. If no P10 exists, then a R10 and R10A record would also be required.

- **R10B (Exception Request Producer Other Person)**
  - AIP Code
  - Reinsurance Year
  - Record Type Code
  - AIP Exception Request Producer Other Person Key

- **R10 (Exception Request Producer)**
  - AIP Code
  - Reinsurance Year
  - Record Type Code
  - AIP Exception Request Producer Key

- **R36 (Exception Request AIP Field Office)**
  - AIP Code
  - Reinsurance Year
  - Record Type Code
  - AIP Exception Request AIP Field Office Key

- **R37 (Exception Request Commodity)**
  - AIP Code
  - Reinsurance Year
  - Record Type Code
  - AIP Exception Request Commodity Key

- **R37A (Exception Request Commodity Land Yield)**
  - AIP Code
  - Reinsurance Year
  - Record Type Code
  - AIP Exception Request Commodity Land Yield Key
  - (AIP Exception Request Yield Key)
  - (AIP Exception Request Land Key)

- **P15 (Yield)**
  - AIP Code
  - Reinsurance Year
  - Record Type Code
  - AIP Policy Producer Key
  - AIP Insurance In Force Key
  - AIP Yield Key

- **R15 (Exception Request Yield)**
  - AIP Code
  - Reinsurance Year
  - Record Type Code
  - AIP Exception Request Key
  - (AIP Exception Request Yield Key)
  - (AIP Exception Request AIP Field Office Key)

- **R15A (Exception Request Yield History)**
  - AIP Code
  - Reinsurance Year
  - Record Type Code
  - AIP Exception Request Key
  - (AIP Exception Request Yield Key)
  - (AIP Exception Request AIP Field Office Key)

- **R27 (Exception Request Legal)**
  - AIP Code
  - Reinsurance Year
  - Record Type Code
  - AIP Exception Request Key
  - (AIP Policy Producer Key)
  - (AIP Exception Request Land Key)

- **R27A (Exception Request Land Other Person Sharing)**
  - AIP Code
  - Reinsurance Year
  - Record Type Code
  - AIP Exception Request Key
  - (AIP Exception Request AIP Field Office Key)

**Legend**

- Box
  - Turquoise - Not required if corresponding P Record already submitted.
  - Grey – Submission requirement set by WAH for WA request type (future implementation)
  - Green – Unique to Exception Requests. Required for all WA request types
  - White – Reference table
  - Pink – PASS flat files

- Lines
  - Dashed Line – Foreign Key relationship
  - Solid Line – Child/Parent relationship

- Red Box Outlines – Tables for minimum submission (R35 Lite)

**Updated:** Reinsurance Year 2015  
March 12, 2014

**Reinsurance Year:** 2016  
**Version:** Approved  
**Release Date:** 12/6/2018